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# SHRI NIWAS LEASING AND FINANCE LIMITED



Regd. Off. : 22, RAJINDRA PARK, NEW DELHI - 110060  
E-mail : shriniwas.limited@gmail.com, Website : shriniwasleasingfinance.com  
CIN : L65993DL1984PLC019141

Date: 15<sup>th</sup> October, 2014

To,  
Department of Corporate Services  
Delhi Stock Exchange  
DSE House, 3/1,  
Asaf Ali Road,  
Delhi-110002

Sub- Clause 35 of Listing Agreement for the quarter ended 30<sup>th</sup> September, 2014

Dear Sirs,

With reference to Clause 35 of the Listing Agreement, please find herewith the enclosed shareholding pattern for the quarter ended 30<sup>th</sup> September, 2014

This is for your kind information and record please.

Thanking You,

Regards,

For Shri Niwas Leasing and Finance Ltd.



Upendra Mohan Tripathi  
(Company Secretary)

Encl: a/a

## Introductory sub-table (I)(a)

Name of the Company: SHRI NIWAS LEASING AND FINANCE LIMITED			
Scrip Code, Name of the scrip, class of security: 4951; SHRI NIWAS; Equity			
Quarter ended: 30th September, 2014			
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>3997000</b>		<b>100.00</b>



**Statement Showing Shareholding Pattern**

Table (I)(a)								
Category code	Category of Shareholder	Number of Share holders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage (IX)/(VIII)/(IV) *100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
1	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	4	310800	310800	7.78%	7.78%	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00
(c)	Bodies Corporate	0	0	0	0.00%	0.00%	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0.00%	0	0.00
(e)	Any Others (Specify)	0	0	0	0.00%	0.00%	0	0.00
	<b>Sub Total (A)(1)</b>	<b>4</b>	<b>310800</b>	<b>310800</b>	<b>7.78%</b>	<b>7.78%</b>	<b>0</b>	<b>0.00</b>
2	<b>Foreign</b>							
a	<b>Individuals (Non-Residents)</b>							
	Individuals/ Foreign Individuals	0	0	0	0.00%	0.00%	0	0.00
b	Bodies Corporate	0	0	0	0.00%	0.00%	0	0.00
c	Institutions	0	0	0	0.00%	0.00%	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00
e	Any Others (Specify)	0	0	0	0.00%	0.00%	0	0.00
	<b>Sub Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>4</b>	<b>310800</b>	<b>310800</b>	<b>7.72%</b>	<b>8.00</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public shareholding</b>							
1	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	0	0	0	0.00%	0.00	0	0.00
(b)	Financial Institutions/ Banks	0	0	0	0.00%	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00%	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00%	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00%	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00%	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00%	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00%	0.00	0	0.00
(i)	Any Other (Specify)	0	0	0	0.00%	0.00	0	0.00
	<b>Sub-Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
2	<b>Non-institutions</b>							
(a)	Bodies Corporate	6	426700	426700	10.68	10.68	0	0.00
(b)	Individuals							
I	Individuals - I. Individual shareholders holding nominal share capital up to Rs. 1 lakh	709	430748	95600	10.78	10.77	0	0.00
II	Individuals - II. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	41	2732452	2700452	68.36	68.00	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (Depository)	0	0	0	0.00	0.00	0	0.00
	HUF	74	96900	23900	2.41	2.41	0	0.00
	<b>Sub-Total (B)(2)</b>	<b>829</b>	<b>3688300</b>	<b>3246852</b>	<b>92.22</b>	<b>98.00</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>829</b>	<b>3688300</b>	<b>3246852</b>	<b>92.22</b>	<b>98.00</b>	<b>0</b>	<b>0.00</b>
	<b>TOTAL (A)+(B)</b>	<b>833</b>	<b>3997000</b>	<b>3557652</b>	<b>100.00</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>
<b>(C)</b>	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>							
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00
2	Public	0	0	0	0.00	0.00	0	0.00
	<b>Sub-Total (C)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>833</b>	<b>3997000</b>	<b>3557652</b>	<b>100</b>	<b>100</b>	<b>0</b>	<b>0</b>



(2)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Director Group"

Sr. No.	Name of the shareholder	Details of shares held		Encumbered shares (%)		Details of warrants		As a % of total convertible securities held of the same class. (XII)	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XIII)
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class (XI)		
(1)	(II)								
1	Sunder Kumar Jain	77,700	1.94	0	0.00	0	0.00	0.00	1.94
2	Vijendra Jain	77,900	1.95	0	0.00	0	0.00	0.00	1.95
3	PRIYAN	77,400	1.94	0	0.00	0	0.00	0.00	1.94
4	Baldev Jain	77,800	1.95	0	0.00	0	0.00	0.00	1.95
	TOTAL	310,800	7.78	0	0.00	0	0.00	0.00	7.78

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SEBI Regulations, 2011.





(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Details of warrants			Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
1	SURYA UDYOG LTD	100000	2.50	0	0.00	0	0.00	2.50	
2	TAMITA LEASING AND FINANCE LTD	100000	2.50	0	0.00	0	0.00	2.50	
3	RAM SUNDER	150000	1.88	0	0.00	0	0.00	1.88	
4	NAVEEN	750000	1.88	0	0.00	0	0.00	1.88	
5	MUKESH SHAH	600000	1.50	0	0.00	0	0.00	1.50	
6	ANIL PRAKASH	600000	1.50	0	0.00	0	0.00	1.50	
7	MEHAK ARORA	784000	1.95	0	0.00	0	0.00	1.95	
8	SHWETA	780000	1.95	0	0.00	0	0.00	1.95	
9	DEEPAK KUMAR	756000	1.90	0	0.00	0	0.00	1.90	
10	DEEPAK TYAGI	714000	1.79	0	0.00	0	0.00	1.79	
11	ELBEE PORTFOLIO (P) LTD	1300000	3.45	0	0.00	0	0.00	3.45	
12	SINGHAL FIN STOCK (P) LTD	1000000	2.50	0	0.00	0	0.00	2.50	
13	S.J. CAPITAL LTD	1405000	3.52	0	0.00	0	0.00	3.52	
14	BHARAT BERJSHAN	696000	1.74	0	0.00	0	0.00	1.74	
15	ANOOB VERMA	576500	1.44	0	0.00	0	0.00	1.44	
16	LUXMI AGARWAL	540000	1.35	0	0.00	0	0.00	1.35	
17	SUNITA DEVI	400000	1.00	0	0.00	0	0.00	1.00	
18	CHANDER P AGARWAL HUF	540000	1.35	0	0.00	0	0.00	1.35	
19	VIJAY KUMAR AHUJA	500000	1.25	0	0.00	0	0.00	1.25	
20	MANJARI DEVI	400000	1.00	0	0.00	0	0.00	1.00	
	<b>TOTAL</b>	<b>1,517,500</b>	<b>37.97</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>37.97</b>	

(I)(c)(iii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Details of warrants			Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class		
				0	0.00	0	0.00	0.00	
				0	0.00	0	0.00	0.00	
	<b>TOTAL</b>		<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (11)(a) above)
		NIL	
TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (11)(a) above)
		NIL		
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/issuer group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (11)(a) above)
TOTAL		0	0	0.00

